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**TECHNICAL SPECIFICATIONS  
FOR  
THE FORMAT FOR  
THE LISTS OF APPROVED OR REGISTERED  
ESTABLISHMENTS, PLANTS OR OPERATORS  
HANDLING ANIMAL BY-PRODUCTS  
INSIDE THE EUROPEAN UNION AND IN THIRD  
COUNTRIES**

Presented at the meeting of the Standing Committee on the Food Chain and Animal  
Health, section Animal Health and Welfare  
on 01-02 March 2011

**TECHNICAL SPECIFICATIONS FOR THE FORMAT FOR  
THE LISTS OF APPROVED OR REGISTERED  
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HANDLING ANIMAL BY-PRODUCTS  
INSIDE THE EUROPEAN UNION AND IN THIRD COUNTRIES**

In accordance with the Animal By-products Regulation (EC) No 1069/2009<sup>1</sup>, Member States shall make available lists of approved or registered establishments, plants or operators which are handling animal by-products ("ABP"). The Regulation also provides that lists of establishments or plants approved or registered by the competent authority of a third country from where animal by-products or derived products are exported or sent in transit to the European Union, shall be communicated to the Commission and the Member States and shall be made available to the public.

The objective of this document is to provide for a format for the presentation of such lists.

It should be noted that the presentation of such lists should take full account of data protection legislation.

Annex I to this document sets up technical specifications for Member States:

Chapter I sets up the plant sections following the content of the Articles in Regulation (EC) No 1069/2009.

Chapter II lists, where necessary, the category of plants in each section. The lists of the categories of plants are non-exhaustive lists.

Chapter III provides a model layout for the listing of plants.

Chapter IV and V set out codes and legends to be used when completing a list of plants with regard to codes and legends of activities and of product types.

Annex II to this document sets up technical specifications for third countries from where animal by-products or derived products are exported or sent in transit to the European Union.

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<sup>1</sup> OJ L 300, 14.11.2009, p.1.

## **ANNEX I**

### **TECHNICAL SPECIFICATION FOR THE COMPETENT AUTHORITIES OF MEMBER STATES**

#### **CHAPTER I**

#### **FORMAT OF THE MASTER LIST<sup>2</sup>**

##### **'EU APPROVED OR REGISTERED ABP ESTABLISHMENTS, PLANTS OR OPERATORS**

<b>SECTION I</b>	Establishments or plants carrying out intermediate activities and plants storing animal by-products
<b>SECTION II</b>	Establishments or plants for the storage of derived products
<b>SECTION III</b>	Incineration/ co-incineration/ combustion plants
<b>SECTION IV</b>	Processing plants
<b>SECTION V</b>	Oleo-chemical plants
<b>SECTION VI</b>	Biogas plants
<b>SECTION VII</b>	Composting plants
<b>SECTION VIII</b>	Petfood plants
<b>SECTION IX</b>	Establishments or plants handling animal by-products or derived products for purposes outside the feed chain
<b>SECTION X</b>	Registered users of animal by-products and derived product for specific purposes
<b>SECTION XI</b>	Collection centres
<b>SECTION XII</b>	Establishments or plants manufacturing organic fertilisers or soil improvers
<b>SECTION XIII</b>	Other registered operators

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<sup>2</sup>

*Note for the webmaster:*

The numbered section below shall be used as a code in all language versions. However, the title of each section may be expressed in at least one official language of the European Union.

## **CHAPTER II**

### **OPERATORS AND CATEGORIES OF ABP PLANTS**

- SECTION I** Establishments or plants carrying out intermediate activities and plants storing animal by-products (Article 24(1)(h) and (i) of Regulation (EC) No 1069/2009)
- SECTION II** Establishments or plants for the storage of derived products (Article 24(1)(j) of Regulation (EC) No 1069/2009)
- SECTION III** Incineration/ co-incineration/ combustion plants (Article 24(1)(b), (c) and (d) of Regulation (EC) No 1069/2009)
1. Incineration plants
  2. Co-incineration plants
  3. Combustion plants
- SECTION IV** Processing plants (Article 24(1)(a) of Regulation (EC) No 1069/2009)
1. Category 1 processing plants
  2. Category 2 processing plants
  3. Category 3 processing plants
- SECTION V** Oleo-chemical plants (Article 23 of Regulation (EC) No 1069/2009)
- SECTION VI** Biogas plants (Article 24(1)(g) of Regulation (EC) No 1069/2009)
- SECTION VII** Composting plants (Article 24(1)(g) of Regulation (EC) No 1069/2009)
- SECTION VIII** Petfood plants (Article 24(1)(e) of Regulation (EC) No 1069/2009)
- SECTION IX** Establishments or plants handling animal by-products or derived products for purposes outside the feed chain (Article 23 of Regulation (EC) No 1069/2009)
1. Blood, blood products, medical devices
  2. Blood and blood products from equidae
  3. Hides and skins and products thereof, tanning activities
  4. Game trophies, trophies for taxidermy purposes and other preparation
  5. Wool, hair, pig bristles, feathers, parts of feathers and down

6. Apiculture by-products
7. Bone, bone products, horns, horn products, hooves, hoof products
8. Milk, milk-based products, milk-derived products, colostrums, colostrums-based products
9. Other

**SECTION X**

Registered users of animal by-products and derived product for specific purposes as referred to in Articles 17(1), 18(1) and 18(2) of Regulation (EC) No 1069/2009, which are registered in accordance with Article 23 of Regulation (EC) No 1069/2009

1. Use for diagnostic, educational and research purposes
2. Use for zoo/circus animals
3. Use for necrophagous birds
4. Use for other wild animals
5. Other special feeding uses
6. Other uses

**SECTION XI**

Collection centres (Article 23 of Regulation (EC) No 1069/2009)

**SECTION XII**

Establishments or plants manufacturing organic fertilisers or soil improvers

**SECTION XIII<sup>3</sup>**

Other registered operators

1. Establishments or plants placing on the market cosmetic products
2. Establishments or plants placing on the market active implantable medical devices
3. Establishments or plants placing on the market medical devices
4. Establishments or plants placing on the market in vitro diagnostic medical devices
5. Establishments or plants placing on the market veterinary medicinal products
6. Establishments or plants placing on the market medicinal products
7. Establishments or plants handling intermediate products

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<sup>3</sup> In the case of operators already registered in other databases indicate only link to such database

8. Registered transporters
9. Registered traders
10. Other registered operators

**CHAPTER III**

**LAYOUT FOR INDIVIDUAL LISTS OF APPROVED OR REGISTERED PLANTS AND OPERATORS<sup>(1)</sup>**

**Last amendment of this list: xx.xx.xxxx**

**SECTION xxx**

**[Title of the section<sup>(2)</sup>]**

Approval/Registration number	Name	Town/Region <sup>(3)</sup>	Category <sup>(4)</sup>	Activities <sup>(5)</sup>	Product types <sup>(5)</sup>	Associated activities <sup>(6)</sup>	Remarks <sup>(7)(8)</sup>	CHAN <sup>(9)</sup> procedure

- (1) One list per section.
- (2) As specified in Chapter II.
- (3) Including postal code.
- (4) Category 1, 2 or 3 as defined in Regulation (EC) No 1069/2009, for which the plant is approved.
- (5) For each type of activity carried out and for each type of products generated by the approved plant use codes defined in Chapter IV and V as appropriate.
- (6) For each other type of activity and products generated on the same site as or by a physically connected plant to the approved plant use codes defined in Chapter IV and V or, where appropriate, categories or codes in Annex II (categories of food establishments) and V (codes and legends) of the technical specifications agreed for food production plants. Plants carrying out more than one approved activity shall be listed in each list concerned.
- (7) Where appropriate.
- (8) Indicate "NAT" for plants which are producing/transforming products as per national standards – not eligible for trade to other MS.

- (9) Indicate "CHAN" for an establishment listed under Regulation (EC) No 1069/2009, in case this establishment may also handle products in relation to the channelling procedure, in accordance with Article 8 (4) and (6) of Directive 97/78/EC. Applicable for MSs which don't declare all of their establishments as approved for channelled consignments.
- (10) In the case of activity under code UFUR are columns other than "Approval/Registration number" and "Activity" only voluntary



**CHAPTER IV CODES AND LEGENDS: ACTIVITIES**

<b>ABBREVIATION</b>	<b>ACTIVITIES</b>
BIOGP	Biogas plants
COLC	Collection Centre
COLL	Collection
CoIP	Co-incineration plants
COMP	Composting plants
GATRP	Game Trophies Plant
INCP	Incineration plants
OLCP	Oleo-chemical Plant
OALKHP	Other means: alkaline hydrolysis process plant
OBIODP	Other means: biodiesel production process plant
OBRGAP	Other means: brookes gasification process plant
OHPHBP	Other means: high pressure hydrolysis biogas process plant
OHPHTP	Other means: high pressure high temperature process plant
OTHER	Other activities (please specify)
PETPP	Petfood Plant using only processed ABP
PETPR	Petfood plant using raw ABP
PHAR	Pharmaceutical activity (incl. all types of medical devices)
PROCP	Processing Plant
STORP	Storage Plant
TAN	Tannery
UCOSM	Use for cosmetic products
UDOG	Use for dogs recognised kennels or packs of hounds and dogs and cats in shelters
UFERT	Use for organic fertilisers and soil improvers
UFUR	Use for fur animals
UINSE	Use for insects (including maggots and worms) for fishing bait
UNEC	Use for necrophagous birds

URBP	Use for reptiles and birds of prey
UWILD	Use for wild animals
UZOO	Use for zoo/circus animals
UDER	Use for diagnostic, educational and research purposes
TRANS	transport of ABP

## **CHAPTER V**

### **CODES AND LEGENDS: PRODUCT TYPES**

<b>ABBREVIATION</b>	<b>PRODUCT TYPES</b>
API	Apiculture by-products
BHHP	Bones, horns, hooves and products thereof
BIOG	Biogas
BIOD	Biodiesel
BIOR	Digestion residues from biogas production other than biogas
BLPF	Blood products for feeding purposes
BLPT	Blood products for technical purposes
CAD	Whole bodies of dead animals (cadavers)
COL	Collagen
COMR	Compost after composting
COSM	Cosmetic products
CATW	Catering waste
DCAP	Dicalcium phosphate
DTC	Digestive tract content
EGG	Egg Products
FERT	Fertilisers other than BIOR
FATOT	Rendered fats and fish oil for purposes other than for feeding or for oleochemical purposes
FATOL	Rendered fat from ABP for oleochemical purposes
FATD	Fat derivatives
FATF	Rendered fats and fish oil for feeding
FEED	Feed production (please specify)
FORMF	Products of animal origin/ no longer for human consumption
GEL	Gelatine
GATR	Game trophies

HISKR	Raw hides and skins
HISKT	Tanned hides and skins
HYDP	Hydrolysed protein
INSE	Insects including maggots and worms
MANU	Unprocessed manure
MANP	Processed manure and manure products
MBM	Meat and bone meal (Category 1, 2)
MEDD	Medical devices
MIMC	Milk, colostrum and derived products from milk and colostrum
OTHER	Other products (please specify)
PAP	Processed animal protein (Category 3; indicate animal species)
PETC	Canned petfood
PETFI	Flavouring innards for petfood
PETD	Petfood: dogchews
PETP	Processed petfood other than canned petfood
PETR	Raw petfood
PHARM	Pharmaceutical products
RAW	Other unprocessed ABP
SERE	Blood and blood products from equidae
TCAP	Tricalcium phosphate
WHBF	wool, hair, pig bristles, feathers
WWT	Material collected from waste water treatment

**ANNEX II**

**TECHNICAL SPECIFICATIONS FOR THE COMPETENT AUTHORITIES OF THIRD COUNTRIES**

**Chapter I**

Establishments and plants approved or registered in third countries

<b>Section</b>	<b>Type of approved or registered establishment and plants</b>	<b>Product<sup>4</sup></b>	<b>Certificates / model documents accompanying consignments to be imported to or sent in transit through the European Union<sup>4</sup></b>
<b>SECTION I</b>	Slaughterhouses <sup>5</sup>	Animal by-products to be fed to fur animals	Annex XV, Chapter 3(D)
		Animal by-products for the manufacture of petfood	Annex XV, Chapter 3(F)
		Blood and blood products from equidae to be used outside the feed chain	Annex XV, Chapter 4(A)
		Untreated blood products,	Annex XV, Chapter 4(C)

<sup>4</sup> Columns "product" and "certificates/model documents" are for explanatory purposes and shall not be repeated in the list to be drawn up by the third country under Chapter II.

<sup>5</sup> For slaughterhouses approved for products for human consumption, details (including the approval number) may also be used for the purposes of the list of establishment sending products not for human consumption.

		excluding of equidae, for derived products for purposes outside the feed chain for farmed animals	
		Fresh or chilled hides and skins of ungulates	Annex XV, Chapter 5(A)
		Animal by-products for the manufacture of derived products for uses outside the feed chain	Annex XV, Chapter 8
<b>SECTION II</b>	Dairy plants	Milk, milk-based products and milk-derived products	Annex XV, Chapter 2(A)
		Colostrum and colostrum products	Annex XV, Chapter 2(B)
<b>SECTION III</b>	Other facility for the collection or handling of animal by-products (i.e. unprocessed/ untreated materials	Animal by-products for the manufacture of petfood	Annex XV, Chapter 3(F)
		Blood and blood products from equidae to be used outside the feed chain	Annex XV, Chapter 4 (A)
		Untreated blood products, excluding of equidae, for derived products for purposes outside the feed chain for farmed animals	Annex XV, Chapter 4(C)
		Treated blood products, excluding of equidae, for the manufacture of derived products for purposes outside the feed chain for farmed animals	Annex XV, Chapter 4(D)

Fresh or chilled hides and skins of ungulates	Annex XV, Chapter 5(A)
Pig bristles from third countries or regions thereof that are free from African swine fever	Annex XV, Chapter 7(A)
Animal by-products for the manufacture of derived products for uses outside the feed chain	Annex XV, Chapter 8
Bones and bone products (excluding bone meal), horns and horn products (excluding horn meal) and hooves and hoof products (excluding hoof meal) for uses other than as feed material, organic fertiliser or soil improvers	Commercial document and declaration Annex XV, Chapter 16
Horns and horn products, excluding horn meal, and hooves and hoof products, excluding hoof meal, for the production of organic fertilisers or soil improvers	Annex XV, Chapter 18
Gelatine not intended for human consumption to be used by the photographic industry	Annex XV, Chapter 19
Wool and hair	No health certificate is required.
Treated feathers, parts of feathers and down	No health certificate is required.

**SECTION IV**

## Processing plants

Processed animal protein, including mixtures and products other than petfood containing such protein	Annex XV, Chapter 1
Blood products that could be used as feed material	Annex XV, Chapter 4(B)
Treated hides and skins of ungulates	Annex XV, Chapter 5(B)
Treated hides and skins of ruminants and of equidae (21 days)	Annex XV, Chapter 5(C)
Pig bristles from third countries or regions thereof that are not free of African swine fever	Annex XV, Chapter 7(B)
Fish oil to be used as feed material or for purposes outside the feed chain	Annex XV, Chapter 9
Rendered fats to be used as feed materials	Annex XV, Chapter 10 (A)
Rendered fats for certain purposes outside the feed chain for farmed animals	Annex XV, Chapter 10(B)
Gelatine or Collagen to be used as feed material or for purposes outside the feed chain	Annex XV, Chapter 11
Hydrolysed protein, Dicalcium phosphate or Tricalcium phosphate to be used as feed material or for purposes outside the feed	Annex XV, Chapter 12



		chain	
		Apiculture by-products intended exclusively for use in apiculture	Annex XV, Chapter 13
		Fat derivatives to be used outside the feed chain	Annex XV, Chapter 14(A)
		Fat derivatives to be used as feed or outside the feed chain	Annex XV, Chapter 14(B)
		Egg products that could be used as feed material	Annex XV, Chapter 15
		Gelatine not intended for human consumption to be used by the photographic industry	Annex XV, Chapter 19
<b>SECTION V</b>	Petfood plants (including plants manufacturing dogchews and flavouring innards)	Canned petfood	Annex XV, Chapter 3(A)
		Processed petfood other than canned petfood	Annex XV, Chapter 3(B)
		Dogchews	Annex XV, Chapter 3(C)
		Raw petfood for direct sale	Annex XV, Chapter 3(D)
		Flavouring innards for use in the manufacture of petfood	Annex XV, Chapter 3(E)
<b>SECTION VI</b>		Game trophies plants	Treated game trophies and other preparations of birds and ungulates, being solely bones, horns, hooves, claws, antlers, teeth, hides or skins
	Game trophies or other		Annex XV, Chapter 6(B)

<b>SECTION VII</b>	Plants or establishments manufacturing intermediate products	preparations of birds and ungulates consisting of entire parts not having been treated Intermediate products	Annex XV, Chapter 20
<b>SECTION VIII</b>	Fertiliser and soil improvers	Processed animal protein including mixtures and products other than petfood containing such protein Processed manure, derived products from processed manure and guano from bats All derived products	Annex XV, Chapter 1
<b>SECTION IX</b>	Storage of derived products	All derived products	Annex XV, all Chapters <b>except</b> Chapters 3(D), 3(F), 5(A) and 8

*Chapter II*

**LAYOUT FOR INDIVIDUAL LISTS OF APPROVED OR REGISTERED PLANTS<sup>(1)</sup>**

**Last amendment of this list: xx.xx.xxxx**

**SECTION xxx**  
**[Title of the section<sup>(2)</sup>]**

Approval number <sup>(3)</sup>	Name	Town <sup>(4)</sup>	Region	Category <sup>(5)</sup>

- (1) One list per section. The layout is reflected in the TRACES system.
- (2) Number and title of the section as specified in Chapter I of Annex II.
- (3) Approval or registration number, as appropriate.
- (4) Including postal code, as appropriate.
- (5) For the category of animal by-products concerned, insert (in TRACES: choose) "Cat.1" (Category 1), "Cat. 2" (Category 2) and/or "Cat.3" (Category 3), as defined in Regulation (EC) No 1069/2009.