



3rd Health Programme 2014-2020

Grants for Joint Actions What is new

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Rome, 03 March 2017



EUR 19 700 000 mio for the following actions co-financed with MS authorities :

1. JA-01-2017 Joint Action on **Health inequalities** (€2,5 million EU co-funding)
2. JA-02-2017 Joint Action - **Innovative Partnership on Action against Cancer** (€4,5 million EU co-funding)
3. JA-03-2017 Joint Action on **Vaccination** (€3 million EU co-funding)
4. JA-04-2017 Joint Action on **preparedness and action at points of entry (air, maritime and ground crossing)** (€3 million EU co-funding)
5. JA-05-2017 Joint Action **supporting the eHealth Network** (€2,7 million EU co-funding)
6. JA-06-2017 Joint Action on **Health Information towards a sustainable EU health information system that supports country knowledge, health research and policy-making** (€4 million EU co-funding)

2017 AWP: what is new for JA

- Direct grant/ negotiated procedure = > all participants have to be nominated first (no open call!)
- **Previously: several participating entities from each Member State/ participating country.**
- **From 2017: Limited to one participating entity per JA/ per Member State or participating country.**
- **No differentiation between competent authority an/ or "other designated body"**

How much co-funding?

- *EU contribution is **60 %** of the total eligible cost;*
- *In cases of exceptional utility, it is **80 %**.*

The co-funding rate applies both to the consortium and individual beneficiary levels

Who can participate?

- *Country eligibility – EU28 and EEA (Norway and Iceland)*
- *Serbia and Moldova*
- *Focus: MS competent authorities*

Exceptional utility criteria

1. At least 30 % of the budget of the proposed action is allocated to MS whose gross national income (GNI) per inhabitant is less than 90 % of the Union average.

This criterion intends to promote the participation from MS with a low GNI.

2. Bodies from at least 14 participating countries participate in the action, out of which at least four are countries whose GNI per inhabitant is less than 90 % of the Union average.

This criterion promotes wide geographical coverage and the participation of MS authorities from countries with a low GNI.

The Role of the MS

- MS to nominate participants prior to Chafea invitation to prepare the proposal



Participants

- **Competent authorities** (national or regional level)



European
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Letter to the Permanent Representatives

 Ref. Ares(2017)1030509 - 27/02/2017



EUROPEAN COMMISSION
HEALTH AND FOOD SAFETY DIRECTORATE-GENERAL

Public health, country knowledge, crisis management
Director

Luxembourg,
sante.ddg1.c.1IL/Lo(2017)1085112

**NOTE FOR THE ATTENTION OF PERMANENT REPRESENTATIONS TO THE EU ON THE
JOINT ACTIONS 2017**

Your Excellency,

Subject: Health Programme in 2017 – Invitation to nominate competent
authorities for the actions co-financed with Member State health
authorities (*commonly referred to as ‘Joint Actions’*) in 2017

Competent Authorities (extract from nomination letter)

*For Joint Actions planned within the 2017 Annual Work Programme, the **Member State/Country participating in the third Health Programme (MS/C)** are invited to nominate one **competent authority per joint action.***

Competent Authorities (extract from Annex 1 of the nomination letter)

Competent authority means the central authority of a Member State competent for health/specific (public) health topic or any other authority to which this competence has been conferred.

A competent authority can therefore also be one at regional level, depending on the governance structure of the MS/C.

Example of competent authorities: the ministry of health, a governmental agency, a national institute of public health, a regional health authority...

Entities affiliated to the nominated competent authorities ('Affiliated entities')

These are organisations that have a distinct legal personality, but are linked to the nominated competent authority.

Affiliated entities receive co-funding through the participating competent authority. While they do not sign the grant agreement, they actively contribute to the implementation of the action.

Option 1- Affiliated entities to a beneficiary – legal or capital link (article 122 of the Financial Regulation)

*It has a structural link with the "single"
beneficiary, in particular a legal or capital link.*

*The affiliated entity needs to comply with the
exclusion criteria applying to applicants as
defined in Annex IV of the Annual Work
Programme 2017.*

The specific case of affiliated entities in the public sphere

- *The different levels of the administrative structure in case of decentralised administration (e.g. National, regional or local ministries in case of separate legal entities can be considered as affiliated to the State).*
- *A public body established by a public authority to serve an administrative purpose and which is supervised by the public authority. (e.g. national institutes or schools for public health or national research centres are affiliated to the state) .*

Option 2- Affiliated entities forming one "Sole beneficiary" as a single entity (article 122.1 of the Financial Regulation)

"Where several entities satisfy the criteria for being awarded a grant and together form one entity, that entity may be treated as the "sole beneficiary".

The "sole beneficiary" entity must comply with the eligibility; non-exclusion and selection criteria. The "sole beneficiary" entity must be nominated by each MS/C to participate on its behalf.

The "sole beneficiary" entity must be nominated by each MS/C to participate on its behalf

- To be eligible under the form of a "sole beneficiary", the competent authority -part of the "sole beneficiary"- must have been nominated before the deadline for submissions. However, the legal establishment of the "sole beneficiary" can be completed at any time until the signature of the proposal.*

Other types of participation (without the preliminary submission of a nomination)

Subcontractors

for the purpose of providing special expertise to the joint action.

Collaborating stakeholders

These may significantly increase the technical and scientific content of the joint action, as well as its relevance for different users in the Union

It can also ensure appropriate representation of civil society organizations active in the relevant health fields at EU level.

Deadline for **NOMINATION** of competent authority

11 May 2017





JA Guide for applicants

Luxembourg, 5 July 2016

Helpful Documents

(sent with the Invitation letter)

- **Link to create a draft proposal**
- **Annual Work Programme 2016**
- **Guide for Applicants for Joint Actions**
- **Model Grant Agreements**

Structure of the JA Guide for applicants (1/3)

A. LEGAL FRAMEWORK AND PARTICIPANTS

1. OBJECTIVES OF THE HEALTH PROGRAMME AND THE 2014 WORK PROGRAMME
2. *EU FINANCIAL CONTRIBUTION TO A JOINT ACTION*
3. ROLE AND RESPONSIBILITY OF BENEFICIARIES
4. *FINANCIAL ASPECTS*

Structure of the JA Guide for applicants (2/3)

B. SUBMISSION OF PROPOSALS

1. *PREPARING FOR THE SUBMISSION OF YOUR APPLICATION*
2. APPLICATION FOR A JOINT ACTION

Structure of the JA Guide for applicants (3/3)

C. EVALUATION

1. EVALUATION PROCESS OVERVIEW AND OUTCOMES

HOW TO PREPARE A PROPOSAL?

Part A or administrative part

- Administrative information for each partner
- Statements to be accepted e.g. on exclusion criteria, operational capacity, financial viability, etc.
- Overview budget per beneficiary – need to establish this before filling part A!

Part B or Technical Part

- Free text & tables & charts
 - **Technical description**
 - **Budget table**



Validate Form

Save And Close

3rd Health Programme

Call: HP-JA-2014

Topic: JA-01-2014

Type of action: HP-PJ

Proposal number: SEP-210228133

Proposal acronym: HELLO

Table of contents

Section	Title	Action
1	General information	Show
2	Participants & contacts	Show
3	Budget	Show

How to fill in the forms

The administrative forms must be filled in for each proposal using the templates available in the submission system. Some data fields in the administrative forms are pre-filled based on the previous steps in the submission wizard.

[Read more](#)



European
Commission

LOGIN

FUNDING SCHEME

CREATE DRAFT

PARTIES

EDIT PROPOSAL

SUBMIT

Step 5

Edit Proposals

Edit Proposals' Forms

In this step you can edit the administrative forms and upload the proposal itself. [?](#)

WARNING: This proposal contains changes that have not yet been submitted...

Administrative Forms

Open the forms in Adobe Reader. [?](#)

[edit forms](#)

[view history](#)

[print preview](#)

Upload the technical annex of the proposal (in PDF format only) and any attachments. [?](#)

Part B

[upload](#)



Audit reports for participants requesting more than 750 000 E

[upload](#)



Configuration OK

[Download Part B Templates](#)

[Visit our 'How to' user guide](#)

[Visit our 'H2020 Online Manual'](#)

[<< Step 4 - Parties](#)

[validate](#)

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done

Version: 20150922-1031 - Service Desk: DIGIT-EFP7-SEP-SUPPORT@ec.europa.eu (+32 (2) 29 92222)

Part B
Upload
Technical Narrative

Part B
Download
Template

1. PROBLEM ANALYSIS INCLUDING EVIDENCE BASE

- Your proposal must describe the problem statement, analysis of the causes and evidence base of the proposed measure(s) and methods.

2. AIMS AND OBJECTIVES OF THE PROJECT

2.1. General objective of the project

2.2. Specific objective(s) of the project

- Please describe your specific objectives following the SMART approach.
- Please state the planned methods of verification that you reached your objectives using clear and precise indicators.

Specific Objective Number	
Specific Objective	
Process Indicator(s)	Target
(repeat line as needed)	
Output Indicator(s)	Target
(repeat line as needed)	
Outcome/Impact Indicator(s)	Target
(repeat line as needed)	

3. TARGET GROUPS

4. POLITICAL RELEVANCE

4.1. Contribution to meeting the objectives and priorities defined in the annual work programme

4.2. Added value at EU level in the field of public health

4.3. Pertinence of geographical coverage

4.4. Consideration of the social, cultural and political context

5. METHODS AND MEANS

6. EXPECTED OUTCOMES

7. WORK PACKAGES

7.1. Overview on work packages

WP number	Title	Description
1	Coordination of the project	Actions undertaken to manage the project and to make sure that it is implemented as planned
2	Dissemination of the project	Actions undertaken to ensure that the results and deliverables of the project will be made available to the target groups
3	Evaluation of the project	Actions undertaken to verify if the project is being implemented as planned and reaches the objectives
4		
5		
6		
...		
x		

WP1, WP2, WP3 and other WPs

- WP 1 – Management of the action
- WP 2 – Dissemination
- WP 3 – Evaluation
- *WP 4 – Integration in national policies and sustainability*



WP 4 - Integration in National Policies and Sustainability (1/2)

- The JA results should set up a plan that demonstrates/describes the potential of their results/deliverables for integration in policies (at national, regional or local levels), support national plans development, and aim to ensure the sustainability of the JA activities at national or on the local or regional level.
- This could be achieved through the development of pilot actions, for example, to illustrate potential implications and how to support the implementation of a policy recommendation or the adoption of an identified best practice at an adequate level in different EU MS (on the local or regional level).

WP 4 - Integration in National Policies and Sustainability (2/2)

- The proposal should be accompanied by a sustainability plan describing which elements/deliverables/results will be further developed, consolidated or run and by which entity/organisation this will/should be done.
- It is important that the proposal demonstrates how policies build on the outcomes and results of action and provides an analysis of potential take-up of best practice(s), evidence-based policy recommendation(s) or national plan(s) or the like.
- This WP should be led by an organisation with relevant knowledge and experience in policy development at national and European level, and should be accompanied by a monitoring and evaluation plan measuring follow-up (uptake, implement) at national/regional/local levels.

7.3. Timetable or Gantt Chart

- Your proposal must illustrate the work packages, milestones and delivery dates of deliverables in a graphical form, such as a timetable or Gantt chart.

8. MILESTONES AND DELIVERABLES

- Your proposal must indicate milestones and deliverables.
- **Deliverable numbers in order of delivery dates.** Please use the numbering convention *<WPnumber>.<number of deliverable within that WP>*. For example, deliverable 4.2 would be the second deliverable from work package 4.

- **Dissemination level:** Use one of the following codes:

PU = Public, fully open, e.g. web

CO = Confidential, restricted under conditions set out in Model Grant Agreement (If a deliverable is labelled confidential, please describe the reasons for the confidentiality. The objective is to make the results of all actions as widely accessible as possible, therefore confidential deliverables will only be accepted in duly justified and exceptional cases).

- **Delivery month:** Measured in months from the project start date (month 1). If a deliverable consists of several parts (eg. a newsletter to be delivered in M6, M12 and M18) please list it as 1 deliverable and state the different delivery month in the last column.
- Technical reports (Periodic Report or Final Report), Leaflet, Layman Brochure and website are considered **mandatory deliverables**. They are already included in the table below and must be kept. They are named ("MD.x").

Deliverable Number	Deliverable Name	Work package number	Leading applicant acronym	Content specification	Dissemination level	Delivery month

9. PROJECT MANAGEMENT STRUCTURE

9.1. Quality of the partnership

9.2. Capacity of the staff

9.3. External and internal risk analysis and contingency planning

Identified Risk	Likelihood	Impact	Contingency planning

9.4. Financial management

10. BUDGET

10.1. Content description and justification

- Please describe how you have built the budget and the rationale for doing so.

10.2. Financial contribution given by third parties

- Please describe the sources, amount and nature of any financial contribution given by third parties to the project/beneficiaries.
- In case of a potential conflict of interest, please describe the necessary measures to prevent any situation where the impartial and objective implementation of the project is compromised for reasons involving economic interest, political or national affinity, family or emotional ties or any other shared interest.

10.3. Summary of staff effort

- Please indicate the number of person/months over the whole duration of the planned work, for each work package, for each applicant.
- Identify the work-package leader for each WP by showing the relevant person-month figure in bold.

	WP n	WP n+1	WP n+2	Total Person/ Months per Applicant
Applicant Number/ Short Name				
Applicant Number/ Short Name				
Applicant Number/ Short Name				
Total Person/Months				

Detailed budget
(1 for each partner)

A) Staff

B) Subcontracting

C) Other Costs

Applicant Number/ Short Name			
(If affiliated entity: Affiliated to which Applicant number/Short name)			
(A) Direct personnel costs			
Staff function	Monthly Cost	Estimated Person-month	Sum Cost (€)
(Please repeat line for each staff function category)			
		Total person month	Total Costs (€) for (A)
	Justification		
(B) Direct costs of sub-contracting	Costs (€)	Task(s)/Justification	
(please repeat line for each subcontract foreseen)			
Total Costs (€) of (B)			
	Justification		
(C) Other direct costs			
(C.1) Travel	Costs (€)	Justification	
(C.2) Equipment	Costs (€)	Justification	
(C.3) Other goods and services	Costs (€)	Justification	
Total Costs (€) of (C)			
(D) Indirect Costs	Total Costs (€)		
(Max. 7% on A, B and C)			
Total estimated eligible costs			

11. PREVIOUS AND CURRENT GRANTS RELEVANT TO THE PROGRAMME (LIMITED TO THE LAST 3 YEARS)

12. CURRENT APPLICATIONS RELEVANT TO THE PROGRAMME

13. EXCEPTIONAL UTILITY

Please describe, if and how your proposal would fulfil the criteria for exceptional utility.

14. COLLABORATING STAKEHOLDERS

Collaborating stakeholders and/or external experts are organisations or individual persons, which:

- May significantly increase the technical and scientific content of the project, as well as its relevance for different users in the Union.
- have no contractual relationship with the Agency
- nor do receive any EU funding from this particular grant;

Please give a list of up to 20 most important collaborating stakeholders and/or external experts participating in your project. Note that it is not mandatory to involve collaborating stakeholders and/or external experts.

Institution	Contact person (First name and last name)	City & Country

Thank you for your attention!

- European Commission
Consumers, Health and Food Executive Agency
Health Unit

CHAFEA-HP-CALLS@ec.europa.eu
<http://ec.europa.eu/eahc/>